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Docket No.: 111370.141

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Kristin Gallina LOVEJOY et al.

Serial No.: 10/032,610

APPLICATIONS BRANCH

Filed: January 2, 2002

For: OBJECT-ORIENTED METHOD, SYSTEM AND MEDIUM FOR RISK  
MANAGEMENT BY CREATING INTER-DEPENDENCY BETWEEN OBJECTS, CRITERIA  
AND METRICS

**RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Honorable Commissioner for Patents  
Washington D.C. 20231

Sir:

In response to the Notice of Missing Parts of Application dated February 21, 2002,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application
2. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
3. Assignment and Recordation Form Cover Sheet

Please charge Deposit Account No. 08-0219 in the amount of \$1192.00 (Statutory Basic Filing Fee of \$740.00, Late Filing Fee Surcharge of \$130.00, Additional Claim Fees of \$282.00, Assignment Fee of \$40.00). To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 08-0219 and please credit any excess fees to such deposit account.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.

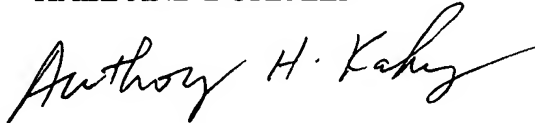
[X] Any patent application processing fees under 37 CFR 1.17.

[X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

It is requested that the official filing receipt now be issued.

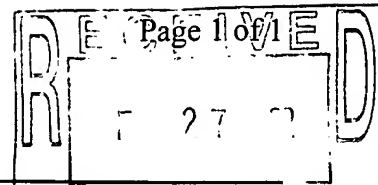
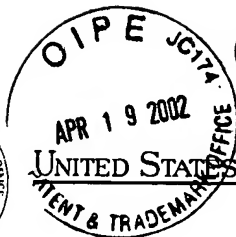
Respectfully submitted,

HALE AND DORR LLP



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,610	01/02/2002	Kristin Gallina Lovejoy	111370.141

CONFIRMATION NO. 6001

FORMALITIES LETTER



\*OC000000007511970\*

Hale and Dorr LLP  
1455 Pennsylvania Avenue, N.W.  
Washington, DC 20004

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/22/2002 AOSMAN1 00000101 080219 10032610

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:102 84.00 CH  
03 FC:103 198.00 CH  
04 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$282.
  - \$198 for 11 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1152.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE